



**NUMERIC EFFLUENT LIMITATION VIOLATION REPORT**

CEM-2063 (NEW 1/2011)

PROJECT INFORMATION NAME AND SITE ADDRESS	CONTRACT NUMBER/CO/RTE/PM
	PROJECT IDENTIFIER NUMBER
	WDID NUMBER

**Violation Location Information**

Photographs

Visual observation of location	<input type="checkbox"/> YES <input type="checkbox"/> NO
The nature and cause of the water quality standard exceedance, base on a visual observation of the discharge location	<input type="checkbox"/> YES <input type="checkbox"/> NO
BMPs currently installed at the location of the discharge	<input type="checkbox"/> YES <input type="checkbox"/> NO
Additional BMPs that will be implemented to prevent or reduce pollutants causing or contributing to exceedance of a water quality standard	<input type="checkbox"/> YES <input type="checkbox"/> NO
Implementation schedule for additional BMPs	<input type="checkbox"/> YES <input type="checkbox"/> NO
Maintenance or repair of BMPs	<input type="checkbox"/> YES <input type="checkbox"/> NO
Implementation schedule for BMPs maintenance or repair	<input type="checkbox"/> YES <input type="checkbox"/> NO
Other required corrective actions	<input type="checkbox"/> YES <input type="checkbox"/> NO
Implementation schedule for corrective actions	<input type="checkbox"/> YES <input type="checkbox"/> NO
Summary of actions taken to reduce the pollutants causing or contributing to the water quality standard exceedance	

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**Additional Information**

Run-on samples taken? <input type="checkbox"/> Yes <input type="checkbox"/> No	Receiving water samples taken? <input type="checkbox"/> Yes <input type="checkbox"/> No	For turbidity NEL violation Samples taken for suspended sediment concentration (SSC)? <input type="checkbox"/> Yes <input type="checkbox"/> No
Run-on sample identification	Receiving water sample identification	SSC sample identification

**Numeric Effluent Limitation Violation Report Certification**

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to ensure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system or those person directly responsible for gathering the information, to the best of my knowledge and belief, the information submitted is true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment for knowing violations.

Water pollution control manager name	Date
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Water pollution control manager signature

Resident engineer name	Date
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Resident engineer signature

Numeric effluent limitation Violation Report submitted to State Board SMARTS database within 24 hours after NEL exceedance was identified? <input type="checkbox"/> Yes <input type="checkbox"/> No	Date input	Resident engineer initials
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All storm event sampling results submitted to State Water Board SMARTS database within 5 days after the conclusion of the storm event? <input type="checkbox"/> Yes <input type="checkbox"/> No	Date input	Resident engineer initials
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**Notice of Discharge Reporting**

Discharge reported by telephone or email to the Regional Water Quality Control Board (RWQCB) within 48 hours of discovery? <input type="checkbox"/> YES <input type="checkbox"/> NO	Date discharge reported to RWQCB	Resident engineer initials
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Notice of Discharge Report submitted to RWQCB within 14 days (3 days for District 7 and District 11)? <input type="checkbox"/> YES <input type="checkbox"/> NO	Date report submitted to RWQCB	Resident engineer initials
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## Instructions

### GENERAL INFORMATION

- This form is required for compliance with provisions for Numeric Effluent Limitation (NEL) Level Violation Report in Section I of Attachment E of the National Pollutant Discharge Elimination System (NPDES) General Permit for Storm Water Discharges Associated With Construction and Land Disturbance Activities, Order No. 2009-0009-DWQ, NPDES No. CAS000002.
- Sampling guidance is found in *Construction Site Monitoring Program Guidance Manual*, dated July 2010.
- When the daily average of effluent samples analysis results exceeds an applicable NEL, submit the Numeric Effluent Limitation Level Violation Report to the State Water Board within 24 hours after an NEL Exceedance has been identified.
- When the daily average of effluent samples analysis results exceeds an applicable NEL, submit all storm event sampling results to the State Water Board within 5 days after the conclusion of the storm event.
- Regional boards have the authority to require the submittal of an NEL Violation Report.
- You may submit an NEL Violation Report to RWQCB instead of a Notice of Discharge Report.
- Include a copy of the completed form in the project Storm Water Pollution Prevention Plan (SWPPP) files.

### FORM

**Contract Number/Co/Rte/PM**

For local agency encroachment permit projects write the encroachment permit number in the Contract Number field.

**Project Identifier Number**

Caltrans projects starting July 1, 2010, will have a Project Identifier Number. For projects without a PID, write N/A in the field.

**Storm Event Precipitation Amount at Sample Time**

At time of sample collection record amount of precipitation from onsite rain gauge.

**Analysis Results**

Analytical results that are less than the method detection limit shall be reported as "Less than the method detection limit."

**Qualifying Rain Event Daily Average Analysis Result**

A minimum of three daily samples is required to calculate the daily average for a qualifying rain event.

**Compliance Storm Event**

The 5-year, 24-hour storm (expressed in tenths of an inch of rainfall), as determined by using the maps.

<http://www.wrcc.dri.edu/pcpnfreq/nca5y24.gif>

<http://www.wrcc.dri.edu/pcpnfreq/sca5y24.gif>

Compliance storm verification must be done by reporting the onsite rain gauge readings as well as nearby governmental rain gauge readings. Attach a copy of the governmental rain gauge readings to this report.

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